

jc530 U.S. PTO
09/533341

NEW APPLICATION TRANSMITTAL - UTILITY

Transmitted herewith for filing is a **utility** patent application:

Inventor(s): Anna P. CATANIA and James M. LIPTON

Title: ANTIMICROBIAL AND ANTI-INFLAMMATORY PEPTIDES FOR USE IN HUMAN IMMUNODEFICIENCY VIRUS

I. PAPERS ENCLOSED HERewith FOR FILING UNDER 37 CFR § 1.53(b):

37 Page(s) of Written Description

2 Page(s) Claims

1 Page(s) Abstract

9 Sheets of Drawings ☒ Informal ☐ Formal

II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:

- ☐ Declaration
- ☐ Power of Attorney ☐ Separate ☐ Combined with Declaration
- ☐ Assignment to _____ and assignment cover sheet
- ☐ Verified Statement establishing “**Small Entity**” under 37 CFR §§ 1.9 and 1.27
- ☐ Priority Document No(s):
- ☐ Information Disclosure Statement w/PTO 1449 ☐ Copy of Citations
- ☐ Preliminary Amendment
- ☒ Return Postcard

LA135613.1

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL199139266US
Express Mail Label No.

March 23, 2000
Date of Deposit

Rick Moreno
Name of Person Mailing Paper

Pick Morris
Signature of Person Mailing Paper

III. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

| | | | | | | |
|--|-------|-----------------|----|---|--------------------------|----------|
| BASIC FILING FEE: | | | | | | \$690.00 |
| Total Claims | 14 | - | 20 | = | 0 x \$18.00 | \$0.00 |
| Independent Claims | 4 | - | 3 | = | 1 x \$78.00 | \$ 78.00 |
| Multiple Dependent Claims | \$260 | (if applicable) | | | <input type="checkbox"/> | \$0.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | | | \$768.00 |
| Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached. | | | | | | \$0.00 |
| Misc. Filing Fees (Recordation of Assignment -- \$40) | | | | | | \$0.00 |
| TOTAL FEES DUE HERewith | | | | | | \$768.00 |

IV. METHOD OF PAYMENT OF FEES

- ☐ A check in the amount of ____.
- ☐ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of ____.
- ☒ This application is being filed without fee or Declaration under 37 CFR § 1.53.

V. AUTHORIZATION TO CHARGE FEES

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. 12-2475 for the following:

- ☐ 37 CFR § 1.16 – (Filing fees and excess claims fees)
- ☐ 37 CFR § 1.17 – (Any application processing fees)
- ☐ 37 CFR § 1.21 – (Assignment recording fees)

VI. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 22249:



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
Suite 4700
633 W. Fifth Street
Los Angeles, CA 90071

Please direct all inquiries to Michael J. Wise, at 213-489-1600.

Respectfully submitted,

LYON & LYON LLP

Dated: March 23, 2000

By: _____

Michael J. Wise
Reg. No. 34,047